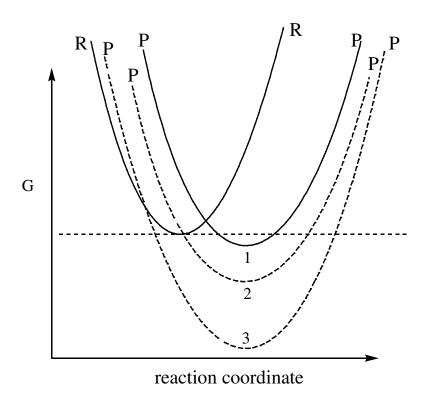
# Inorganic Mechanisms II Core Module 7

# **Richard Douthwaite**



# **Inorganic Mechanisms II**

6 lectures + 1 workshop - Module 7

# **Synopsis**

Lecture 1: Outer sphere electron transfer I

Lecture 2: Outer sphere electron transfer II

**Lecture 3:** Inner sphere electron transfer

Lecture 4: How catalysts work

Lecture 5: Isomerisation, hydrogenation and hydroformylation

Lecture 6: CO/alkene compolymerisation, dehydrogenation and borylation of alkanes

Workshop

#### Learning Objectives: by the end of this course you should be able to

- i) Understand distinction between outer sphere and inner sphere electron transfer.
- ii) Describe how rearrangement of solvent and internal atom coordinates affects the rate of outer sphere electron transfer.
- iii) Use the Marcus cross relation and discuss the relation ship between  $\lambda$ ,  $\Delta G^{\ddagger}$  and  $\Delta G^{\circ}$
- iv) Understand that a bridging group (atom or molecule) is required for inner sphere electron transfer.
- v) Distinguish factors that indicate if electron transfer occurs by an outer or inner sphere mechanism.
- vi) Understand what a catalyst is and the principal ways that a catalyst affects the rate of a chemical reaction.
- vii) Understand the concept of the catalytic cycle and the principle of microscopic reverse.
- viii) Illustrate the basic mechanisms of some catalytic reactions incorporating alkenes.
- ix) Illustrate the basic mechanisms of some catalytic reaction incorporating alkanes.

#### **Bibliography:**

#### Electron transfer:

- J. E. Huheey, E A Keiter and R. L. Keiter. *Inorganic Chemistry*, 4<sup>th</sup> Ed., 1993, p 547 576.
- R. A. Henderson. The Mechanisms of Reactions at Transition Metal Sites, 1995
- R. B. Jordan, Reaction Mechanisms of Inorganic and Organometallic Systems, 1991 Ch 3 and 6.
- R. A. Marcus, Angew. Chem. Int. Ed. 1993, 32, 1111.

#### Catalysis:

- C. Elschenbroich and A Salzer. Organometallics, 2<sup>nd</sup> Ed.,1992, Ch 17
- F. A. Cotton and G. Wilkinson, *Advanced Inorganic Chemistry*, 5<sup>th</sup> Ed.
- Chen, H et al. Science, 2000, 287, p1995
- R. B. Jordan, Reaction Mechanisms of Inorganic and Organometallic Systems, 1991 Ch 5.

#### **Associated Core Courses**

AKDK	Transition metal chemistry	1 <sup>st</sup> year
JML	Transition metal organometallics	2 <sup>nd</sup> year
SBD	Inorganic mechanisms I	2 <sup>nd</sup> year
MCRC	Vibrational spectroscopy	2 <sup>nd</sup> year
RED	Metal-ligand bonding	2 <sup>nd</sup> year
JML	Main group clusters and organometallics	3 <sup>rd</sup> year
AKDK	Bioinorganic chemistry	3 <sup>rd</sup> year
PBK	Electrons in chemical reactions	3 <sup>rd</sup> year
RNP	Photochemistry	3 <sup>rd</sup> year

#### **Electron Transfer Reactions**

One definition of chemistry could be 'The study and manipulation of valence electrons'. The transfer of electrons between molecular compounds is therefore an extremely important phenomenon.

We will be considering electron transfer between inorganic complexes. There are two mechanisms by which inorganic complexes transfer electrons. The **outer sphere mechanism** and the **inner sphere mechanism**. The outer sphere mechanism is important because of the analogy that can be drawn between electron transfer in metal complexes and electron transfer in metalloenzymes. The inner sphere mechanism is important because atom transfer (bond breaking/formation) can be concomitant with electron transfer. Both are important for photosynthesis.

**Outer sphere** electron transfer occurs between complexes that do not undergo substitution. No new bonds are broken or formed.

**Inner sphere** electron transfer occurs between complexes via a bridging ligand. At least one of the complexes needs to be labile to allow the bridge to form. Bonds are broken and formed.

Recap

Substitution: Inert vs labile octahedral complexes

Electron configuration important (LS = low spin)

Inert	Labile
$\mathbf{d^3}$ , $\mathbf{d^4}$ LS, $\mathbf{d^5}$ LS, $\mathbf{d^6}$	$\mathbf{d^0}$ , $\mathbf{d^1}$ , $\mathbf{d^2}$ , $\mathbf{d^4}$ HS, $\mathbf{d^5}$ HS, $\mathbf{d^7}$ HS

Low spin complexes	High spin complexes
Strong field ligands CO, CN <sup>-</sup> , PR <sub>3</sub>	Weak field ligands H <sub>2</sub> O, NH <sub>3</sub> , Cl <sup>-</sup>
All 2 <sup>nd</sup> and 3 <sup>rd</sup> row complexes	All 1 <sup>st</sup> row H <sub>2</sub> O complexes
Most Co(III)	Most Co(II)

# Outer sphere electron transfer

$$M^{(a+1)+}L_x$$
 +  $M^{'b+}L_y$   $\longrightarrow$   $M^{a+}L_x$  +  $M^{'(b+1)+}L_y$  oxidant (O) reductant (R) is reduced is oxidised

#### Mechanism

1. Formation of precursor complex

$$M^{(a+1)+}L_x \qquad + \qquad M^{'\,b+}L_y \qquad \overline{\qquad \qquad } \qquad \left[ M^{(a+1)+}L_x^{\text{\tiny $I$ IIIII}}\,M^{'\,b+}L_y \right] \quad \text{Precursor complex}$$

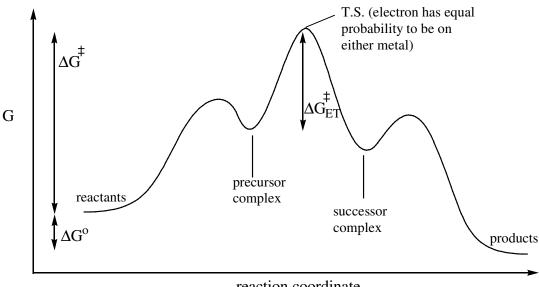
2. Activation/reorganisation of precursor complex. Electron transfer. Relaxation to successor complex

$$\left[ M^{(a+1)+} L_{x}^{\text{illing}} M^{'b+} L_{y} \right] \qquad \xrightarrow{k_{\text{ET}}} \qquad \left[ M^{a+} L_{x}^{\text{illing}} M^{'(b+1)+} L_{y} \right] \qquad \text{Successor complex}$$

3. Dissociation of successor complex

$$\left[M^{a+}L_{x}^{\text{IIIIII}}M^{'(b+1)+}L_{y}\right] \qquad \xrightarrow{k_{\text{diss}}} M^{a+}L_{x} + M^{'(b+1)+}L_{y}$$

Formation of precursor complex and dissociation of successor complex are fast. Electron transfer slow



remember from thermodynamics, driving force  $\Delta G^{o} = -nF\Delta E^{0}$ 

$$[Ru*(NH_3)_6]^{2+} + [Ru(NH_3)_6]^{3+} \xrightarrow{k = 6.7 \text{ x } 10^3 \text{ M}^{-1}\text{s}^{-1}} [Ru*(NH_3)_6]^{3+} + [Ru(NH_3)_6]^{2+}$$
 Self exchange reactions (M and M\* are isotopes). 
$$[Co*(NH_3)_6]^{2+} + [Co(NH_3)_6]^{3+} \xrightarrow{k = 10^{-9} \text{ M}^{-1}\text{s}^{-1}} [Co*(NH_3)_6]^{3+} + [Co(NH_3)_6]^{2+}$$
 
$$[Co*(NH_3)_6]^{3+} + [Co(NH_3)_6]^{3+} + [Co(NH_3)_6]^{3+} + [Co(NH_3)_6]^{3+}$$

$$[V(H_2O)_6]^{2+} + [Ru(NH_3)_6]^{3+} \xrightarrow{} [V(H_2O)_6]^{3+} + [Ru(NH_3)_6]^{2+}$$
 Cross reaction 
$$[V(H_2O)_6]^{3+/2+} E^0 = -0.255 \text{ V}$$

So why is there such a large range of rates?

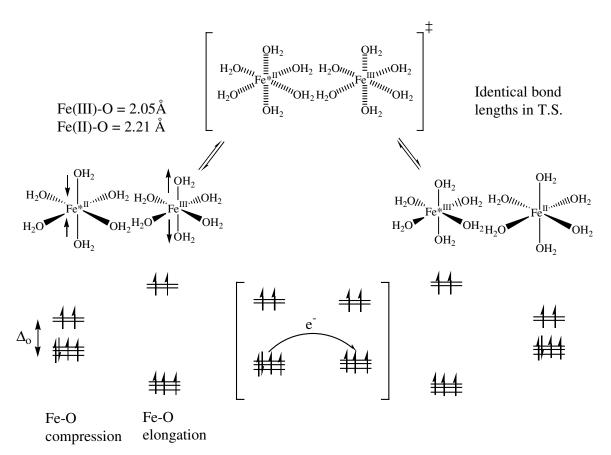
# Factors that affect the rate of outer sphere electron transfer

$$\Delta G^{\ddagger} = \Delta G_{t}^{\ddagger} + \Delta G_{o}^{\ddagger} + \Delta G_{i}^{\ddagger}$$
 energy to bring reactants together (including overcoming coulombic repulsion) energy needed for solvent reorganisation to make interacting orbitals the same energy

i)  $\Delta G_0^{\ \ i}$ . Energy is required to reorganise the solvent.

Solvents that interact strongly with complexes (e.g. via hydrogen bonding) will reduce the rate of electron transfer

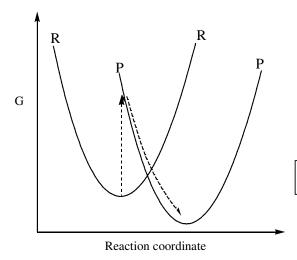
ii)  $\Delta G_i^{\,\sharp}$ . Metal-ligand bond lengths will change when the oxidation state of the metal changes. The Frank-Condon principle states that because nuclei are much more massive than electrons, an electronic transition occurs much faster than the nuclei can respond. Complexes must adjust their M-L bond lengths before electron transfer.



Orbital energies must be of equal for electron transfer to occur (but not sole requirement)

# Why not transfer electron then relax bonds?

#### Potential energy diagrams

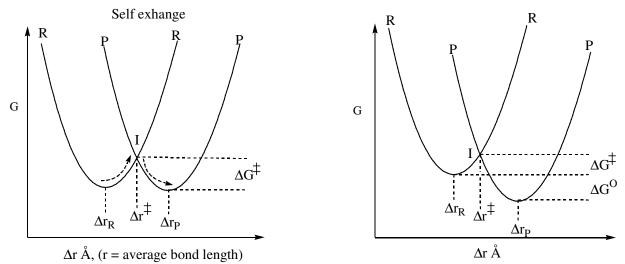


R = potential energy surface of reactants + environment
P = products products

1. Where did energy come from to 'activate' the electron?

2. R 
$$\xrightarrow{\text{ET}}$$
 P\*  $\xrightarrow{\text{relax}}$  P + heat (viloation of conservation of energy)

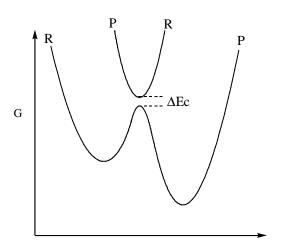
a photon could provide the required energy.



At I, the requirement of equal orbital energies is met allowing the possibility of electron transfer.

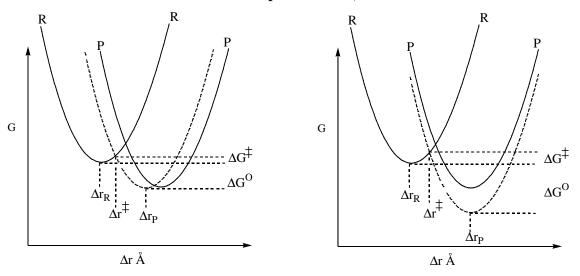
#### How does electron move from reactant to product?

At I, electronic-vibrational coupling ( $\Delta Ec$ ) determines the probability  $\kappa_{el}$  (transmission coefficient) that an electron will transfer.  $\kappa_{el}$  increases with increasing  $\Delta Ec$ .



Wavefunction is reactant-like at the left of the well. When get to crossing point turn on mixing due to coupling. (only near the crossing point is this important). Large  $\Delta Ec$  means large probability of crossing to product-like well on right.

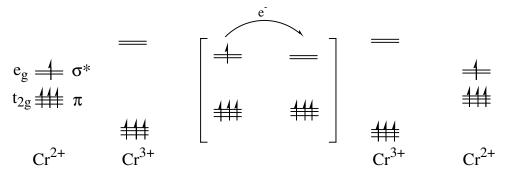
# What is the relationship between $\Delta r$ , $\Delta G^{o}$ and $\Delta G^{\ddagger}$ ?



 $\Delta G^{\ddagger} \propto (\Delta r)^2$  (i.e. small changes in bond lengths = large changes in  $\Delta G^{\ddagger}$ )

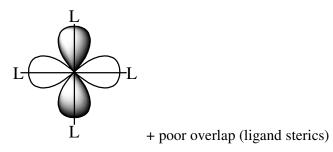
Self Exchange Reaction	Configuration	k (M <sup>-1</sup> s <sup>-1</sup> )	Δr Å
$[Cr(H_2O)_6]^{2+}/[Cr(H_2O)_6]^{3+}$	$t_{2g}^{3}e_{g}^{1}/t_{2g}^{3}e_{g}^{0}$	10 <sup>-5</sup>	0.3
$[V(H_2O)_6]^{2+}/[V(H_2O)_6]^{3+}$	$t_{2g}^{3}e_{g}^{0}/t_{2g}^{2}e_{g}^{0}$	10 <sup>-2</sup>	0.2
$[Fe(H_2O)_6]^{2+}/[Fe(H_2O)_6]^{3+}$	$t_{2g}^{4}e_{g}^{2}/t_{2g}^{3}e_{g}^{2}$	4	0.15
$[Ru(H_2O)_6]^{2+}/[Ru(H_2O)_6]^{3+}$	$t_{2g}^{6}e_{g}^{0} / t_{2g}^{5}e_{g}^{0}$	$4 \times 10^3$	0.05
$[Fe(phen)_3]^{2+}/[Fe(phen)_3]^{3+}$	$t_{2g}^{6}e_{g}^{0} / t_{2g}^{5}e_{g}^{0}$	$10^3$	0.01

#### Electron transfer requires orbital overlap and occurs between orbitals of the same symmetry

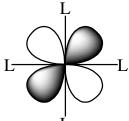


#### **Orbital symmetry**

In  $O_h$  field  $e_g$  is  $\sigma^*$ :  $e_g \rightarrow e_g$  transition = large change in bond length. 'Slow' electron transfer



In  $O_h$  field  $t_{2g}$  is  $\pi/\pi^*$ :  $t_{2g} \to t_{2g}$  transition = small change in bond length. 'Fast' electron transfer



better overlap (depends on ligands)

#### **Overlap**

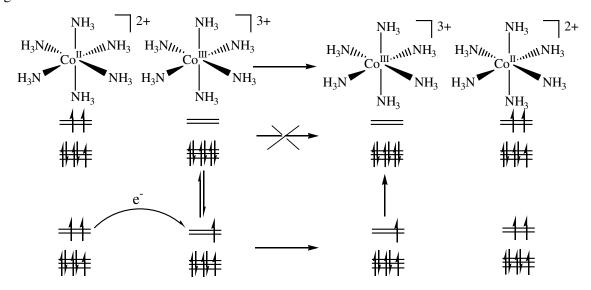
2nd and 3rd row metals generally faster that 1<sup>st</sup> row due to better overlap of 4d and 5d orbitals. (Also due to stronger ligand fields bond length distortions will be smaller).

Ligands that have extended  $\pi$ -systems e.g. Phen, bipy etc can assist electron transfer.

### **Electronic Configuration**

Energy is required if a complex has to change electron configuration to allow electron transfer.

e.g.



Think of electronic reorganisation being concomitant with bond elongation and compression.

# Quantitative interpretation of outer sphere electron transfer reaction rates

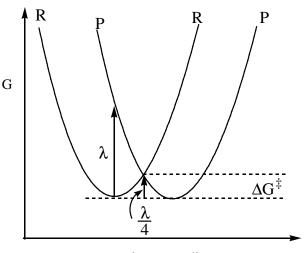
$$\Delta G^{\ddagger} = \frac{W}{2} + \frac{\lambda}{4} \left( 1 + \frac{\Delta G^{o}}{\lambda} \right)^{2}$$

w = work term (energy required to bring two reactants together),  $\lambda$  = total reorganisation energy (includes solvent reorganisation and bond length changes of reactants)

For many reactions involving complexes of same charge or if the reactants are effectively fixed in space such as in a protein  $w \approx 0$ . Therefore

$$\Delta \vec{G} = \frac{\lambda}{4} \left( 1 + \frac{\Delta \vec{G}}{\lambda} \right)^2$$
and for a self exchange reaction  $\Delta \vec{G} = 0$ .
$$\Delta \vec{G} = \frac{\lambda}{4}$$

Note that a thermodynamic parameter ( $\Delta G^{o}_{AB}$ ) is being used in a kinetic expression



reaction coordinate

#### Recap

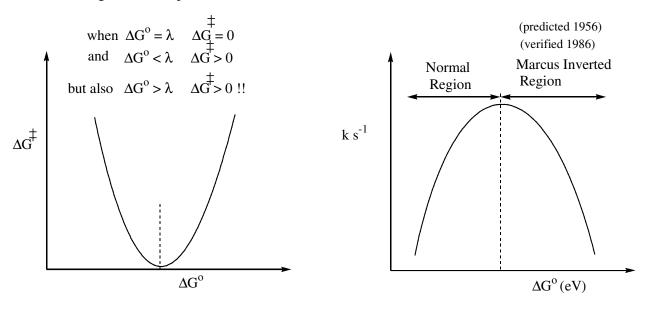
Eyring equation relates rate constants and free energy of activation

$$k = \frac{k_{\rm B}T}{h} e^{-\Delta G^{\ddagger}/RT}$$

$$G = \frac{\Delta G^{\ddagger}}{\Delta G^{\circ}}$$
reaction coordinate

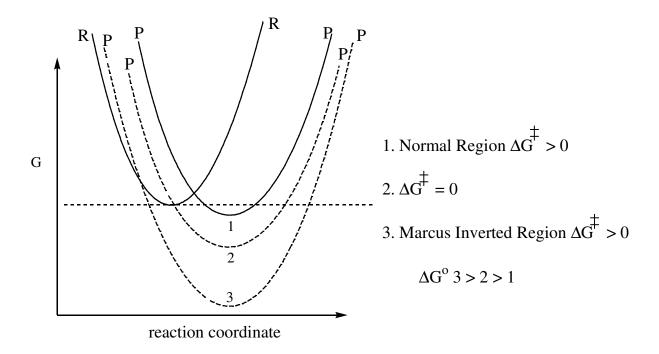
k = rate constant,  $k_{\rm B}$  = Boltzmann constant,  $\Delta G^{\ddagger}$  = free energy of activation (kinetic),  $\Delta G^{\rm o}$  = free energy of reaction (thermodynamic).

**rem**  $\Delta G^{o}$  is negative for a spontaneous reaction



In the inverted region the rate (k) decreases as the thermodynamic driving force increases!

This is most easily visualised using a potential energy diagram



The Marcus inverted region is probably important in photosynthesis where wasteful back electron transfer reactions (which are highly exothermic) are prevented.

#### **Marcus cross-relation**

Self exchange reaction  $\Delta G^{o} = 0$ 

cross reaction  $\Delta G^{o} < 0$ 

Marcus related the cross reaction to the two self exchange reactions.

$$k_{AB} = (k_{AA}k_{BB}K_{AB}f_{AB})^{1/2}$$
  $f_{AB} = \frac{(\log K_{AB})^2}{4\log\left(\frac{k_{AA}k_{BB}}{Z^2}\right)} \implies 1$ 

 $k_{AB}$  = rate of cross reaction:  $k_{AA}$ ,  $k_{BB}$  = self exchange rates:  $K_{AB}$  = equilibrium constant of reaction Z = collision frequency for hypothetical uncharged complex ( $10^{11}$ - $10^{13}$  M<sup>-1</sup>s<sup>-1</sup>). Can calculate the rate of electron transfer cross reaction  $k_{12}$  if other parameters are known.

$$k_{AB} \approx (k_{AA}k_{BB}K_{AB})^{1/2}$$

or 
$$\Delta G_{AB}^{\ \ \ \ \ } \ \ \approx \frac{1}{2} \bigg( \Delta G_{AA}^{\ \ \ \ \ \ } \ \ \Delta G_{BB}^{\ \ \ \ \ } \ + \ \Delta G_{AB}^{\ \ \ \ \ } \bigg)$$

e.g.

and 
$$\Delta G^o = -nF\Delta E^0$$
 therefore  $-RTlnK = -nF\Delta E^0$   $K = e^{\left(\frac{nF\Delta E^0}{RT}\right)}$ 

$$(O - R)$$

$$K_{AB} = e^{(38.94(0.56 - 0.36))} = 2.4 \times 10^{3}$$

$$k_{AB} = (3600 \times 300 \times 2.4 \times 10^{3})^{1/2} = 5.1 \times 10^{4} \text{ M}^{-1} \text{s}^{-1}$$

$$k_{exp} = 1.3 \times 10^{4} \text{ M}^{-1} \text{s}^{-1}$$

Reactants	k <sub>AB</sub> calc (M <sup>-1</sup> s <sup>-1</sup> )	k <sub>AB</sub> obsd (M <sup>-1</sup> s <sup>-1</sup> )
$[Co(Phen)_3]^{3+} + [Ru(NH_3)_6]^{2+}$	3.5 x 10 <sup>-5</sup>	1.5 x 10 <sup>-4</sup>
$[\text{Co(en)}_3]^{3+} + [\text{V(H}_2\text{O)}_6]^{2+}$	3.1 x 10 <sup>-3</sup>	5.8 x 10 <sup>-4</sup>
$[Ru(NH_3)_6]^{3+} + [V(H_2O)_6]^{2+}$	$2.2 \times 10^3$	$1.3 \times 10^3$
$[Fe(H_2O)_6]^{3+} + [V(H_2O)_6]^{2+}$	$1.6 \times 10^6$	1.8 x 10 <sup>4</sup>
$[Fe(H_2O)_6]^{3+} + [Ru(NH_3)_6]^{2+}$	1.0 x 10 <sup>8</sup>	$3.4 \times 10^5$

# Reasons for $k_{calc} \ vs \ k_{obsd} \ differences$

- 1. Cross relation assumes that activation process of each reactant is independent of the other and that the activated species are the same in the self exchange and the cross reaction. But if the reactants have opposite charge they will attract each other and  $Z_{AB} >> Z_{AA}$  and  $Z_{BB}$  therefore  $f_{AB} >> 1$ .
- 2. If  $\Delta Ec$  is small, transmission coefficient  $\kappa < 1$ . Not all transition states with  $\Delta G > \Delta G^{\ddagger}$  go to products.

$$k = \frac{k_B T}{h} e^{-\Delta G^{\ddagger}/RT} \quad vs \quad k = \kappa_{el} \frac{k_B T}{h} e^{-\Delta G^{\ddagger}/RT}$$
"adiabatic" vs  $k = \kappa_{el} \frac{k_B T}{h} e^{-\Delta G^{\ddagger}/RT}$ 

3. Not outer sphere (Inner sphere?)

# Inner sphere electron transfer

Inner sphere electron transfer is mediated by a bridging ligand.

- i) Reductant and oxidant share a ligand in the precursor and successor complex.
- ii) On activation the electron is transferred between the metals.
- iii) The ligand may transfer between complexes.

## In O<sub>h</sub> complexes dissociation of a ligand is required to form bridge

$$[\text{Co*}(\text{NH}_3)_6]^{3+} + [\text{Cr}(\text{H}_2\text{O})_6]^{2+} \longrightarrow [\text{Co}(\text{H}_2\text{O})_6]^{2+} + [\text{Cr}(\text{H}_2\text{O})_6]^{3+} + 6 \text{ NH}_3$$

$$t_{2g}^{\phantom{2g}} t_{2g}^{\phantom{2g}} e_g^{\phantom{2g}} t_{2g}^{\phantom{2g}} e_g^{\phantom{2g}} t_{2g}^{\phantom{2g}} k = 10^{-3} \text{ M}^{-1} \text{s}^{-1}$$
outer sphere mechanism

add Cl

$$[\text{Co(NH}_3)_5\text{Cl}]^{2+} + [\text{Cr(H}_2\text{O})_6]^{2+} \longrightarrow [\text{Co(H}_2\text{O})_6]^{2+} + [\text{Cr(H}_2\text{O})_5\text{Cl}]^{2+} + 5 \text{ NH}_3$$

$$t_{2g}^{\phantom{2g}6} \quad t_{2g}^{\phantom{2g}3} e_g^{\phantom{2g}1} \quad t_{2g}^{\phantom{2g}5} e_g^{\phantom{2g}2} \quad t_{2g}^{\phantom{2g}3} \quad k = 6 \text{ x } 10^5 \text{ M}^{-1} \text{s}^{-1}$$
inert labile labile inert

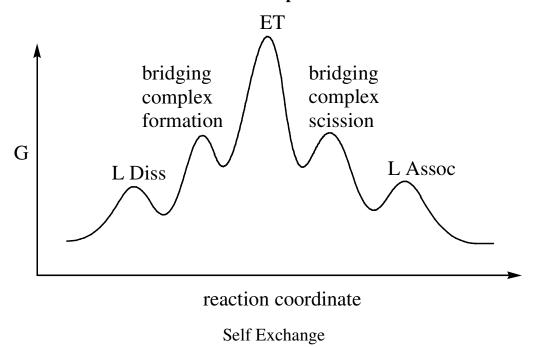
inner sphere mechanism (Cl<sup>-</sup> transfer)

Conclusive proof of inner sphere mechanism If we use  $[Co(NH_3)_5Cl]^{2+}$  at start and add  $Cl^{*-}$ ,  $Cl^{*-}$  is **not** in final product. However ligand transfer is not a requirement of inner sphere mechanism

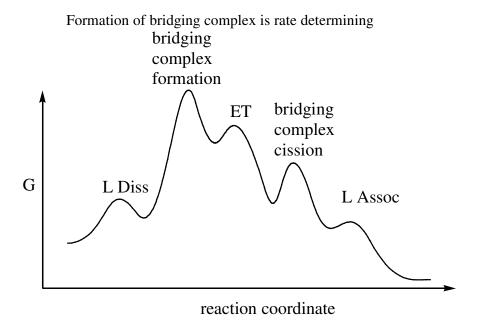
$$\begin{aligned} & \text{[IrCl}_{6}]^{2-} & + & \text{[Cr(H}_{2}O)_{6}]^{2+} & \longrightarrow & \text{[IrCl}_{6}]^{3-} & + & \text{[Cr(H}_{2}O)_{6}]^{3+} \\ & t_{2g}^{5} & t_{2g}^{3}e_{g}^{1} & t_{2g}^{6} & t_{2g}^{3} \end{aligned}$$

Transfer is determined by relevant bond strengths Ir-Cl + Ir-OH<sub>2</sub> vs Cr-Cl + Cr-OH<sub>2</sub>

# Factors that affect the rate of inner sphere electron transfer reactions

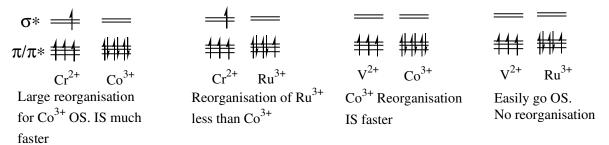


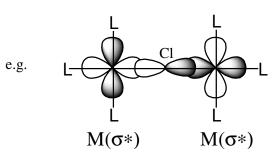
i) Formation of the bridging complex can be the rate limiting step  $(k_a)$ . This will be dependent on how inert or labile the complexes are.  $(k_{ET} \text{ vs } k_a)$ . It is also possible that dissociation  $(k_d)$  is the rate limiting step.



ii) Electronic configurations.  $\sigma^*$  ('e<sub>g</sub>') orbitals interact strongly with bridging ligand. Orbital symmetries of metal  $\sigma^*$  and bridging ligands facilitate electron transfer. Massive acceleration in rates from outer to inner sphere can be achieved.

Reaction	НОМО	LUMO	Acceleration IS/OS
$Cr^{2+} + Co^{3+}$	σ*	σ*	~ 10 <sup>10</sup>
$Cr^{2+} + Ru^{3+}$	σ*	π* (π)	~ 10 <sup>2</sup>
$V^{2+} + Co^{3+}$	π*	σ*	~ 10 <sup>4</sup>
$V^{2+} + Ru^{3+}$	π	π	All OS





- iii) Bridging ligand. Inner sphere electron transfer is very sensitive to bridging ligand.
  - 1) The bridge connects the two metals.

Increasing bulk of R slows binding of Cr<sup>2+</sup> to bridge

2) Transfer can be a two step process from metal to ligand then ligand to metal. This circumvents the simultaneous reorganisation energy of both complexes that is required for outer sphere.

$$(NH_3)_5Co^{III} - N \xrightarrow{\qquad \qquad } + Cr^{2+}_{(aq)} \xrightarrow{\qquad \qquad } (NH_3)_5Co - N \xrightarrow{\qquad \qquad } + Cr^{3+}_{(aq)}$$

$$(NH_{3})_{5}Co^{III} - N \longrightarrow NH_{2} + Cr^{2+}_{(aq)} \xrightarrow{Coordination of Cr^{2+}} (NH_{3})_{5}Co^{II} - N \longrightarrow NH_{2} + Cr^{3+}_{(aq)} (NH_{3})_{5}Co^{II} - N \longrightarrow NH_{2} \longrightarrow$$

ligand mediates electron transfer

# How do we distinguish if electron transfer is outer or inner sphere?

Is there a vacant coordination site?

Is there a substitutionally labile reactant?

Has ligand transfer occurred?

Are there large differences in rate on addition or substitution of potentially bridging ligand?

A good test is to compare electron transfer rates of N<sub>3</sub> and NCS complexes.

 $E_{1/2}$  ( $\Delta G^{\circ}$ 's) of  $N_3^-$  and NCS complexes are similar.

If  $k_{N3-}/k_{NCS-} \sim 1$  (OS). If  $k_{N3-}/k_{NCS-} >> 1$  (IS). This is because  $N_3^-$  is symmetric.

O	R	$k_{N3-}/k_{NCS-}$	rxn type
$[(NH_3)_5CoX]^{2+}$	Cr <sup>2+</sup>	$10^4$	IS
$[(NH_3)_5CoX]^{2+}$	$V^{2+}$	27	intermediate
$[(NH_3)_5CoX]^{2+}$	Fe <sup>2+</sup>	$> 3 \times 10^3$	IS
$[(NH_3)_5CoX]^{2+}$	$Cr(bipy)_3^{2+}$	4	OS
[(H2O)5CoX]2+	Cr <sup>2+</sup>	4 x 10 <sup>4</sup>	IS

M-N=N=N-M

M-N=C=S-M

'faster' electron transfer

'slower' electron transfer

greater difference between A.O. energies

A.O. energies are more uniform

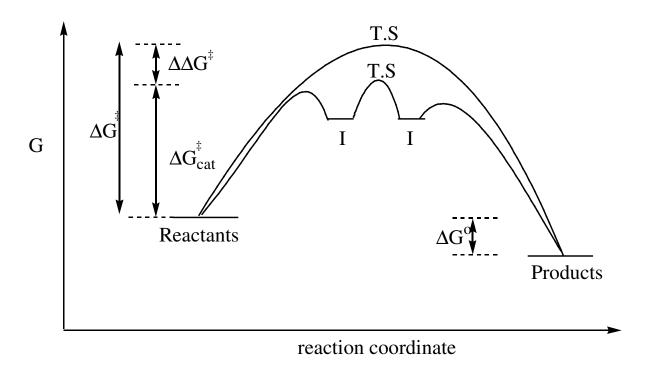
M.O. 'rougher'

M.O. 'smoother'

#### **Catalysis**

Catalysis is involved in the production of approximately 90% of the chemicals currently used in industry and forms the basis of technologies designed to remove or prevent noxious chemicals detrimental to the environment. Through the action of enzymes, catalysis also plays a central role in the life cycle.

Catalysis is a *kinetic* phenomenon. A catalyst increases the rate that a reaction reaches equilibrium but does not affect the position of the equilibrium. If a catalyst changed the position of equilibrium the second law of thermodynamics would be contravened.



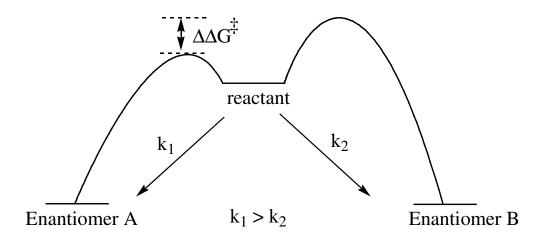
Amongst other properties, a good catalyst will give high yields of the desired product. i.e the catalyst affects the product distribution of a reaction. This is not because the catalyst has changed the position of equilibrium but because **most catalytic reactions are run under non-equilibrium conditions**.

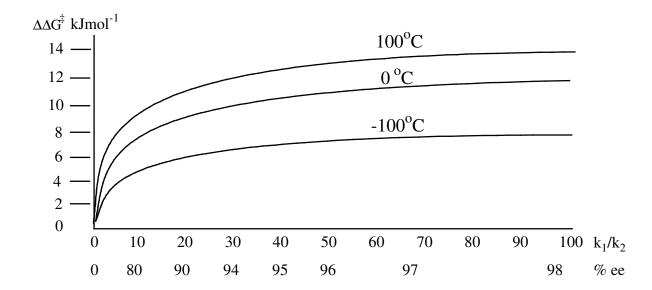
In homogeneous catalysis  $\Delta G^{\neq} \sim 90 - 140 \text{ kJ mol}^{-1}$  at RT possible.

But product distribution sensitive to differences in  $\Delta G^{\neq}$  of  $< 4 \text{ kJ mol}^{-1}$ 

e.g. asymmetric catalysis. Product enantiomers have the same ground state energy but  $\Delta G^{\neq}$ 's are different.

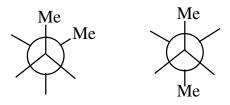
Kinetics determines selectivity. No thermodynamic difference between the products





Product distribution sensitive to very small changes e.g. at 0 °C 80% ee to 95% ee requires a  $\Delta\Delta G^{\ddagger}$  of < 2 kJ mol<sup>-1</sup>

c.f. ΔG difference between gauche and anti conformations of butane is 3.76 kJ mol<sup>-1</sup>



## How does a catalyst work?

Bond breakage and formation are integral to all chemical reactions and are a consequence of the redistribution of valence electrons. MO theory provides a convenient way to understand the factors that effect bond breaking/forming processes.

**1. Orbital overlap and directionality**. Two atoms (molecules) must be physically within the same region of space if reaction is to occur.

A catalyst can act as a template increasing the probability and preferred directionality of orbital interactions.

$$\begin{array}{c|c} H_2N & NH_2 \\ \hline -O & O \\ \hline N & N \end{array}$$

$$\begin{array}{c|c} Cu^{2+} & \hline N & Cu \\ \hline N_0 Cu^{2+} & \hline O \\ \hline \end{array}$$
oligomers + small amount of 1

**2. Orbital energy.** Perturbation of the reactant orbital energies by interaction with a catalyst can change reaction rates.

**3. Orbital symmetry.** A bond forming reaction requires interacting orbitals of the same symmetry. The frontier molecular orbitals and hence symmetry may change on interaction with a catalyst

$$= + H_2 \qquad \text{symmetry forbidden}$$

$$\text{LUMO } \sigma^* \text{ } H \text{ } H \text{ } H \text{ } H \text{ } G \text{ } H \text{$$

22

# **Some Terminology**

**Precatalyst**: The transition metal complex that is added to a reaction. The true catalytic species usually results from a preliminary reaction or event (e.g. ligand dissociation) of the precatalyst before binding of the substrate and catalysis can occur.

Turnover/Activity: Moles of product per second per mole of metal precatalyst.

**Lifetime/Stability**: Common catalyst decomposition reactions are hydrolysis, metal cluster formation and ligand degradation. Some decomposition products catalyse the formation of undesired products. The product may also poison a catalyst.

**Resting state:** The catalyst may be in equilibrium with another complex that does not catalyse the reaction but can act as a 'reservoir' for the catalyst.

#### **Fundamental Reactions**

Dissociation/association, oxidative addition/reductive elimination and insertion/extrusion are the most common elementary steps that support a catalytic cycle and conform to the principle of microscopic reverse.

#### Principle of microscopic reversibility

The mechanism of a reverse reaction must be the same as the mechanism for the forward reaction *under the same conditions*. This results because the least energetic pathway in one direction must be the least energetic pathway in the other direction. i.e. The intermediates and transition state must be the same in either direction. *One consequence of this is that a catalyst for a forward reaction will be a catalyst for the reverse reaction*.

23

# Homogeneous Transition Metal Mediated Catalysis

4	5	6	7	8	9	10
Ti	V	Cr	Mn	Fe	Со	Ni
Zr	Nb	Мо	Тс	Ru	Rh	Pd
Hf	Та	W	Re	Os	Ir	Pt

fewer d-electrons
high coordination numbers
high oxidation states
oxophilic
prefer hard ligands

lower coordination numbers lower oxidation states strong bonds with  $\pi$ -acid and softer ligands (e.g. alkyls and H) undergo reductive elimination/oxidative addition

Reactivity of complexes  $1^{st}$ ,  $2^{nd} > 3^{rd}$ Catalytic activity  $1^{st}$ ,  $2^{nd} > 3rd$ M-H, M-C, M-M bond strengths  $2^{nd}$ ,  $3^{rd} > 1^{st}$ 

Late metals are used in most catalytic reactions involving M-C and M-H bonds (notable exceptions are Ziegler Natta polymerisation (Ti, Cr) and alkene epoxidation (Ti, Mn)

Also M-H and M-C bonds of the later metals are less sensitive to water and oxygen

# Some catalysis of unsaturated substrates

#### **Isomerisation of alkenes**

Alkene isomerisation is a useful reaction for the synthesis of certain steroids and using mixtures of alkene isomers as feedstock.

Two mechanisms have been recognised. Catalytic mechanisms are usually depicted as cycles.

Internal alkenes are thermodynamically more stable than terminal alkenes. Isomerisation gives mixtures of thermodynamic products.

Many metal complexes are catalysts for isomerisation. Rhodium catalysts tend to be the most rapid.

# Addition to alkenes: Hydrogenation and hydroformylation ('oxo' process)

Anti-Markovnikov addition

# Hydrogenation

Mechanism can change dependent on if alkene or H<sub>2</sub> adds to metal first.

Rh(CO)(H)(PPh<sub>3</sub>)<sub>3</sub> is selective for terminal alkenes. Isomerisation can compete with hydrogenation.

Other precatalysts include RhH(PPh<sub>3</sub>)<sub>3</sub>, (good for internal and terminal alkenes). Can do asymmetric.

# Hydroformylation

Other common precatalyst is  $Co_2(CO)_8$  (Otto Roelen 1938 discovered hydroformylation using this precatalyst). Isomerisation and hydrogenation can be competitive. Can do asymmetric hydroformylation.

Isomerisation can be useful. Hydroformylation of terminal alkenes is much quicker than internal alkenes due to alkene/ligand sterics at metal.

Other carbonylations proceed by similar mechanisms to give a range of products

$$\begin{array}{ccc} Nu & O & \underline{Nu} \\ CCH_2CH_2R & OH = acids \\ Ph_3P & Pd & PPh_3 & OR = esters \\ NR_2 = amides \\ e.g. & OC & \end{array}$$

# Alkene/CO copolymerisation

#### **Copolymerisation of CO and Alkenes**

In the early 1980's Shell were investigating the use of *cationic* palladium phosphine complexes as catalysts for the methoxycarbonylation of ethene (methyl propionate).

$$= + CO \qquad \frac{Pd(OAc)_2}{PPh_3, TsOH} \qquad OMe$$

$$MeOH$$

Using chelating bis-phosphines it was found a high molecular weight polyketone was formed.

#### Pre-catalysts for CO/alkene copolymerisation

#### **Important features:**

- i) chelating bidentate ancillary ligands seem to be required
- ii) weakly coordinating anions
- iii) Electrophilic metal centre required to bind alkene but not too electrophilic or CO binds too strongly and inhibits copolymerisation.

#### Mechanism of CO/alkene Copolymerisation

Co-polymerisation is usually performed in protic solvents and mainly in methanol.

i) what is the catalytic species?

End group analysis shows esters and ketones. Catalyst can be hydride or methoxy complex.

Propagation rate determines formation of polyketones or propionates. Chelating bidentate ligands enforce cis-coordination of the growing polymer chain and vacant coordination site. In mono-phosphine complexes cis/trans isomerisation prevents propagation.

iii) Why is there strict alternation of CO and ethylene in the co-polymer? Double CO or double ethene defects are rarely observed.

Double CO insertion is thermodynamically unfavourable and will not occur.

Double ethene insertion is thermodynamically favourable by ~ 80 kJ mol<sup>-1</sup>

An ethene/CO ratio of 10:1 still gives strict alternation until the CO has been consumed.

Explanation: The acyl intermediate coordinates via the carbonyl oxygen to Pd to give a metallocycle. Formation of the metallocycle has two consequences

- 1) Prevents double ethene insertion as the ethene is not a strong enough donor to displace the Pd-O bond and
- 2) Prevents  $\beta$ -H elimination

## **Catalysis of saturated substrates**

#### **Dehydrogenation of alkanes**

Essentially the reverse of hydrogenation of alkenes.

Bond	Dissociation bond energy (kJ mol <sup>-1</sup> )
С-Н	400-415
Н-Н	432
C=C (π)	260-275

$$\Delta H_{rxn} = 2 \times 400 \text{ (C-H)} - [432 \text{ (H-H)} + 275 \text{ (C=C)}] = 93 \text{ kJ mol}^{-1} \text{ (endothermic)}$$

Very slow compared to hydrogenation. Lots of energy (heat) is required 150-200 °C. The catalyst needs to be stable at high temperature. From the principle of microscopic reverse it should be expected that the same type of catalysts that hydrogenate alkenes also dehydrogenate alkanes.

Addition of an  $H_2$  acceptor helps to drive the reaction by removing  $H_2$  and formation of 2 x C-H bonds ( $\Delta H_{rxn} \approx 0$ , thermoneutral reaction)

Internal alkenes are more stable than terminal alkenes. Distribution of mainly thermodynamic products

#### **Borylation of alkanes**

Organoboranes are very useful intermediates for a large range of organic compounds.

$$R_1$$
 $R_3$ 
 $R_4$ 
 $R_4$ 

Bond	Dissociation bond energy (kJ mol <sup>-1</sup> )
С-Н	400-415
Н-Н	432
В-Н	464
B-C	472

$$\Delta H_{rxn} = [415 \text{ (C-H)} + 464 \text{ (B-H)}] - [432 \text{ (H-H)} + 472 \text{ (B-C)}] = -25 \text{ kJ mol}^{-1} \text{ (exothermic)}$$

Regiospecific for terminal C-H bonds

More recently, functionalisation of arenes at room temperature has been achieved. Many functional groups on the arene can be tolerated.

Mechanism still unclear but probably involves metal boryl intermediates

B-C bond formation could be via oxidative addition of C-H/reductive elimination of B-C or  $\sigma$ -bond metathesis

$$H-CR_3 + M-B$$
 $CR_3$ 

Oxidative addition/
reductive elimination

 $R_3C-B$ 
 $CR_3$ 
 $CR_3$ 

Theoretical evidence suggests  $\sigma$ -bond metathesis.